

# **The 9<sup>th</sup> Workshop on Adjoint Model Applications In Dynamic Meteorology**

**Cefalu, Sicily, Italy  
10-14 October 2011**

**Sponsored by  
NASA's Global Modeling and Assimilation Office**

**Chief Organizer: Ronald Errico (GMAO and GESTAR)**

**Organizing committee:  
Susan Ballard (Met-Office)  
Jan Barkmeijer (KNMI)  
Mark Buehner (Environment Canada)  
Carla Cardinali (ECMWF)  
Gerald Desroziers (Meteo-France)  
Marta Janiskovs (ECMWF)  
Nikki Privé (GMAO and GESTAR)  
Liang Xu (NRL)**

# PROGRAM & PARTICIPANT LIST

If a presentation has multiple authors affiliated with the same institution, that institution is indicated only once. If an author has multiple affiliations, only the primary one is indicated. A presentation number in bold italics indicates a long (invited) talk. All times are tentative.

<b>Session 0</b>			
<b>Sunday</b>		<b>Pre-Workshop Tutorials</b>	
<b>9 Oct 2011</b>			
0930	<b>Ronald Errico</b>	0.1	Fundamentals of Adjoint Models
1200	Lunch		
1330	<b>Andrew Lorenc</b>	0.2	Fundamentals of Data Assimilation
1500	Close of Tutorial Session		

<b>Session 1</b>			
<b>Monday Morning</b>			<b>10 Oct 2011</b>
Session Chair: Liang Xu			
0830	<b>Ronald Errico</b>		Welcoming remarks and announcements
<b>Adjoint Development</b>			
0845	<b>Marta Janiskova</b> Carla Cardinali	1.1	Forecast error contribution of the global observing system using different energy norms and different representation of physical processes in the adjoint model
0910	<b>Cristina L. Charlton-Perez</b> S. P. Ballard, Z. Li, D. Simonin, H. Buttery, N. Gaussiat, L. Hawkness-Smith	1.2	Adapting the UKMO linear model for NWP-based nowcasting
0935	<b>Tim Payne</b>	1.3	Accounting for linearisation error in the Extended Kalman Filter and 4D-Var
1000	Roel Stappers <b>Jan Barkmeijer</b>	1.4	Optimal linearization trajectories
1025	Break		
1045	<b>Adrian Sandu</b>	1.5	Properties of discrete adjoints for adaptive models
1110	<b>Patrick E. Farrell</b> S. W. Funke	1.6	A high-level abstraction for developing adjoint models
<b>Sensitivity Analysis</b>			
1135	<b>Brett Hoover</b>	1.7	Dynamical sensitivity analysis of tropical

	Michael Morgan		cyclogenesis: a barotropic mode in the eastern Pacific
1200	<b>Michael C. Morgan</b>	1.8	An adjoint description of geostrophic adjustment
1300	Lunch		

<b>Session 2</b>			
<b>Monday Afternoon</b>		<b>10 Oct 2011</b>	
Session Chair: Marta Janiskova			
1430	<b>Patrick Heimbach</b> Martin Losch	2.1	Sensitivity patterns of sub-ice shelf melt rates to ocean circulation under Pine Island Glacier from an adjoint ocean general circulation model
1455	<b>Brian Ancell</b> Lynn McMurdie Rolf Langland	2.2	The predictability of North American land-falling cyclones
1520	<b>Colette Kerry</b> Brian Powell	2.3	Quantifying the sensitivity of nonlinear tides in the Philippine Sea
1545	Oger N., <b>Olivier Pannekoucke</b> Doerenbecher, A. Arbogast, P	2.4	Sensitivity of the KFS to the trajectory of reference
1610	Break		
<b>Observation Operators</b>			
1630	<b>Alain Caya</b> Mark Buehner Michael Ross Tom Carrieres	2.5	Challenges of assimilating observations for producing high-resolution sea-ice analyses
1655	<b>Steven J. Fletcher</b> Glen E. Liston Christopher A. Hiemstra Steven D. Miller	2.6	Assimilation of MODIS and AMSR-E Snow Parameter Observations into a Physical Snow Model
1720	<b>Zhiquan Liu</b> Quanhua Liu Hui-Chuan Lin Craig Schwartz	2.7	Variational assimilation of MODIS aerosol optical depth over east Asia region
1745	<b>Martin Leutbecher</b>	2.8	On ensemble forecasts, singular vectors and reliability

## Session 3

**Tuesday Morning**

**11 Oct 2011**

Session Chair: Gerald Desroziers

### Error Formulations

0900	<b>Andrew Moore</b> Hernan Arango Gregoire Broquet	3.1	Estimates of analysis error, forecast error, and predictability derived from the adjoint of 4D-Var
0925	<b>Dr. Yann Michel</b>	3.2	Estimating deformations of random processes for correlation modeling in data assimilation
0950	<b>Ricardo Todling</b>	3.3	A smoother-based strategy to estimate system error covariances
1015	<b>Joanne A. Pockock</b> A. S. Lawless S. L. Dance N. K. Nichols	3.4	Errors of representativity
1040	Break		
1100	<b>Chiara Piccolo</b> Mike Cullen	3.5	Adaptive mesh method in the Met Office variational data assimilation system
1125	<b>Thibaut Montmerle</b> Loik Berre	3.6	Use of heterogeneous background error covariances accounting for precipitations at convective scale
1150	<b>Stefano Migliorini</b>	3.7	Information-based localization for ensemble data assimilation
<b>Variational DAS</b>			
1215	<b>Xin Zhang</b> Xiang-Yu Huang Nils Gustafsson	3.8	Control of lateral boundary conditions in WRF 4D-Var
1300	Lunch		

<b>Session 4</b>			
<b>Tuesday Afternoon</b>		<b>11 Oct 2011</b>	
Session Chair: Ronald Gelaro			
<b>Variational DAS</b>			
1430	<b>Amal El Akkraoui</b> Ricardo Todling Yannick Tremolet	4.1	Using a Bi-Conjugate Gradient minimization algorithm for variational data assimilation
1455	M.A. Freitag <b>Nancy K. Nichols</b> C.J. Budd	4.2	Resolution of sharp fronts in the presence of model error using L1-regularized variational assimilation
1520	<b>Joanna S. Pelc</b> Ehouarn Simon Laurent Bertino Ghada El Serafy Arnold W. Heemink	4.3	Model-reduced 4D-Var data assimilation in application to 1D ecosystem model
1545	Serge Gratton <b>Selime Gurol</b> Philippe Toint	4.4	Preconditioning of conjugate-gradients in observation space with an application to 4D-Var data assimilation
1610	Break		
1610	<b>Poster Session</b>		
	<b>Loik Berre</b> Gerald Desroziers Laure Raynaud Hubert Varella Laurent Descamps Carole Labadie	4.5	Variational ensemble data assimilation at Meteo-France for error covariance modelling and ensemble prediction
	<b>Vanja Blazica</b> Nedjeljka Zagar	4.6	Quantification of divergence in a mesoscale model
	<b>Jean-François Caron</b>	4.7	How to optimally treat large scale information in limited area ensemble-based data assimilation?
	<b>Dan Holdaway</b> Ron Errico	4.8	Jacobians of the GEOS5 Relaxed Arakawa-Schubert convection scheme
	<b>Erin Kashawlic</b> Brian Ancell	4.9	Comparing observation impact on low-level wind forecasts between an ensemble Kalman filter and a 3DVAR data assimilation scheme
	<b>Benjamin Menetrier</b> Thibaut Montmerle Loik Berre Yann Michel	4.10	Variational ensemble-based forecast error variance maps filtering, a toy-models approach
	<b>Tamas Prager</b> Éva König	4.11	On the possibilities and limits of direct physical interpretation and synoptical

Fanni Kelemen		use of mathematical objects related to the adjoint hydro-thermodynamical equations
<b>Tom Rosmond</b> Craig Bishop Dave Kuhl Liz Satterfield	4.12	Balanced Ensemble Localization with Normal Mode Initialization
<b>Elizabeth Satterfield</b> Craig H. Bishop David D. Kuhl Tom Rosmond	4.13	Deriving optimal weights for combining static and flow-dependent covariance models
<b>Kevin Smith</b>	4.14	TBD
<b>Polly Smith</b> Andrew Moore	4.15	Application of weak constraint dual formulation 4D-Var to the California Current System
<b>Julius Sumihar</b> Martin Verlaan Stef Hummel Nils van Velzen	4.16	File-based model connections for data-assimilation with OpenDa
<b>Xudong Sun</b> Peter Steinle	4.17	4-Dvar spectral covariance with horizontal anisotropic transformation
<b>Olivier Titaud</b> Jean-Michel Brankart Jacques Verron	4.18	On the use of Lagrangian Coherent Structures in direct assimilation of ocean tracer images
<b>Hubert Varella</b> Loik Berre Gerald Desroziers	4.19	Modelling of flow-dependent ensemble-based background error correlations using a wavelet formulation
<b>Martin Verlaan</b> Julius Sumihar	4.20	Ensemble based observations sensitivity applied to storm surge forecasting

<b>Session 5</b>			
<b>Wednesday Morning</b>		<b>12 Oct 2011</b>	
Session Chair: Jan Barkmeijer			
<b>Particle Filters</b>			
0900	<b>Peter Jan van Leeuwen</b>	<b>5.1</b>	Introduction to particle filters (tentative)
0950	<b>Melanie Ades</b> Peter Jan van Leeuwen	5.2	Particle filters for large-dimensional problems
1015	<b>Anne Cuzol</b> Etienne Méménin	5.3	Image assimilation with the weighted ensemble Kalman filter
1040	Break		
<b>Model Error</b>			
1100	<b>Yannick Tremolet</b>	5.4	Towards a longer 4D-Var assimilation window
1125	<b>Carla Cardinali</b> Roberto Buizza Gabor Radnoti Nedjeljka Zagar	5.5	Representing model error in Ensemble Data Assimilation
1150	<b>Laure Raynaud</b> Loik Berre Gerald Desroziers	5.6	Accounting for model error in global and regional ensemble data assimilation systems
1215	<b>Nedjeljka Zagar</b>	5.7	Comparison of balance and flow-dependency of large-scale background-error variances in two ensembles
1300	Lunch		

<b>Wednesday Afternoon</b>		<b>12 Oct 2011</b>	
Free time (weather permitting)			
1830	Dinner		

<b>Session 6</b>			
<b>Wednesday Evening</b>		<b>12 Oct 2011</b>	
Session Chair: Mark Buehner			
2000	<b>Dale Barker</b>	<b>6.1</b>	TBD

## Session 7

Thursday Morning

13 Oct 2011

Session Chair: Andrew Lorenc

### Ensemble DAS

0900	<b>Gerald Desroziers</b> Loik Berre	7.1	Accelerating and parallelizing minimizations in ensemble and deterministic variational assimilation
0925	<b>Lisa Neef</b> Katja Matthes	7.2	Assimilation of Earth Rotation Parameters into an Atmosphere Model
0950	<b>Tijana Janjic</b> L.Nerger A. Albertella J.Schroeter S. Skachko	7.3	Domain localization in ensemble based Kalman filter algorithms
1015	<b>Mohamad El Gharamti</b> U. Altaf I. Hotiet A. W. Heemink	7.4	Data assimilation into groundwater contaminant models using an ensemble variational approach

1040 Break

### Hybrid Techniques

1100	Adam Clayton Dale Barker Neill Bowler Peter Jerney <b>Andrew Lorenc</b> Rick Rawlins Mike Thurlow	7.5	The Met Office's hybrid ensemble-4D-Var scheme
1125	<b>David D. Kuhl</b> Tom Rosmond Craig H. Bishop Elizabeth Satterfield	7.6	Which matters more in Hybrid Ensemble 4D-VAR, variances or correlations?
1150	<b>Craig H. Bishop</b> Elizabeth Satterfield David D Kuhl Tom Rosmond	7.7	Errors in ensemble-based error covariance estimates and Hybrid ensemble 4D-VAR
1215	<b>Mark Buehner</b>	7.8	A hybrid variational-EnKF data assimilation technique for operational global NWP

1240 Lunch



## Session 8

Thursday Afternoon

13 Oct 2011

Session Chair: Nikki Privé

### Hybrid Techniques

1430	Hajoon Song <b>Ibrahim Hoteit</b> Bruce Cornuelle Aneesh Subramanian	8.1	An adjoint-based adaptive ensemble Kalman filter
1455	<b>Ruth Petrie</b> Ross Bannister	8.2	High resolution dynamic data assimilation
1510	<b>Paul Krause</b> Pedro L.S. Dias	8.3	On the influence sampling of atmospheric microstates

### Other Data Assimilation

1535	<b>Anthony Weaver</b> Isabelle Mirouze	8.4	On the diffusion equation and its application to isotropic and anisotropic correlation modelling in variational assimilation
1605	Break		
1625	<b>Brian Powell</b> Bruce Cornuelle	8.5	Dealing with Nonlinearities in Data-Space Assimilation of Oceanic Flows

### Observing System Simulation Experiments (OSSEs)

1650	<b>Ronald Errico</b> Nikki Privé King-Sheng Tai	8.6	The design and validation of Observing System Simulation Experiments at NASA's Global Modeling and Assimilation Office
1715	<b>Nikki Privé</b> Ronald Errico	8.7	Observing System Simulation Experiments (OSSEs) as tools for the investigation of data assimilation systems
1740	<b>Daryl Kleist</b> John Derber David Parrish Kayo Ide Jeff Whitaker	8.8	Evaluation of a hybrid ensemble-variational data assimilation scheme using an OSSE

<b>Session 9</b>			
<b>Friday Morning</b>		<b>14 Oct 2011</b>	
Session Chair: Carla Cardinali			
<b>Observation Impacts</b>			
0830	<b>Ronald Gelaro</b>	<i>9.1</i>	Applications of adjoint-based estimates of observation impact in NWP
0920	<b>Liang Xu</b> Wei Kang	9.2	Optimal Sensor Placement for Data Assimilations
0945	<b>Clark Amerault</b> James D. Doyle	9.3	Adjoint observation impact for a limited area model
1010	Break		
1030	<b>Thomas Auligné</b> Hongli Wang Xin Zhangs Xiaoyan Zhang Qingnong Xiao Xiang-Yu Huang	9.4	Observation Impact on Forecast Error in a Regional Model
1055	<b>Richard Marriott</b> Andrew Lorenc	9.5	Forecast-error-sensitivity to observations in the UM
1120	<b>Alison Fowler</b> Peter Jan Van Leeuwen	9.6	Measures of observation impact in non-Gaussian data assimilation
1145	<b>Ronald Errico</b>		Closing Remarks
1200	Lunch		